

# FEE TRANSMITTAL

Effective November 10, 1998.  
Patent fees are subject to annual revision.

Complete if Known

Application Number	09/965,568
Filing Date	09/27/2001
Inventors	Dekmezian, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2000U027CIP.US

Total Amount of Payment (\$)**130.00**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description Fee \$	Fee Paid
101 740	Utility filing fee	
106 330	Design filing fee	
107 510	Plant filing fee	
108 740	Reissue filing fee	
114 160	Provisional filing fee	

**SUBTOTAL (1)**

2. **CLAIMS**

	Extra	Fee from below	Fee Paid
Total Claims <input type="text"/> - 20 = <input type="text"/>	x <b>18</b>	= <input type="text"/>	<b>.00</b>
Independent Claims <input type="text"/> - 3 = <input type="text"/>	x <b>84</b>	= <input type="text"/>	<b>.00</b>
Multiple Dependent Claims <input type="text"/> 0	x <b>280.00</b>	= <input type="text"/>	<b>.00</b>

Large Entity Fee Code

Fee\$

Fee Description

103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description
105	130	Surcharge - late filing fee or oath
127	50	Surcharge - late provisional filing or cover sheet.
139	130	Non-English specification
147	2,520	For filing a request for reexamination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	400	Extension for reply within second month
117	920	Extension for reply within third month
118	1,440	Extension for reply within fourth month
128	1,960	Extension for reply within fifth month
119	310	Notice of Appeal
120	320	Filing a brief in support of an appeal
121	280	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive - unavoidable
141	1,280	Petition to revive - unintentional
142	1,280	Utility issue fee (or reissue)
143	460	Design issue fee
144	620	Plant issue fee
122	130	Petition to the Commissioner
123	50	Petitions related to provisional applications
126	180	Submission of information Disclosure Stmt.
581	40	Recording each patent assignment per property (times number of properties)
148	110	Statutory disclaimer

**FEES PAID**

**130**

Other fee (specify)  
\* Reduced by Basic Filing Fee Paid

**130.00**

**SUBTOTAL (3)**

Typed or Printed Name **Lisa Kimes Jones**

Reg. No. **41,878**

Signature

Date **December 20, 2001**

Deposit Account User ID



✓ 0300#

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/965,568
Filing Date	September 27, 2001
First Named Inventor	Dekmezian et al.
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Total Number of Pages in This Submission	Attorney Docket Number 2000U027CIP.US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Preliminary Amendment / Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Documents)	<input type="checkbox"/> Terminal Disclaimer	<u>Declaration, Formalities Letter and Acknowledgment Postcard</u>
<input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts		

## REMARKS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Lisa Kimes Jones	Registration No.	41,878
Signature			
Date	December 20, 2001		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on this date: December 20, 2001

Typed or printed name	Tammy L. Hodges	Date	December 20, 2001
Signature			



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,568	09/27/2001	Armenag H. Dekmezian	2000U027CIP.US

CONFIRMATION NO. 4321

## FORMALITIES LETTER



\*OC000000007012656\*

Univation Technologies, LLC  
Suite 1950  
5555 San Felipe  
Houston, TX 77056

Date Mailed: 11/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

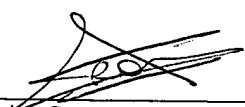
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/09/2002 NLUANG 00000086 500589 09965568

01 FC:105 130.00 CH